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4 **Guideline on quality data requirements for applications**  
5 **for biological veterinary medicinal products intended for**  
6 **limited markets**

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## 30 **Executive summary**

31 Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December 2018 on  
32 veterinary medicinal products repealing Directive 2001/82/EC (the Regulation) introduced specific  
33 provisions for limited markets. Article 4(29) of the Regulation provides a definition for limited  
34 market and Article 23 provides specific derogations on the submission of safety and efficacy data  
35 when certain conditions applicable to marketing authorisation applications for limited markets are  
36 met.

37 The general aim of this guidance is to define acceptable data requirements for the demonstration of  
38 the quality of biological veterinary medicinal products, including immunological veterinary  
39 medicinal (IVMPs) products classified as limited markets in line with Article 4(29) of Regulation  
40 (EU) 2019/6.

### 41 **1. Introduction**

42 The importance of the availability of veterinary medicinal products is well recognised in the EU.  
43 Veterinary medicinal products legislation has been revised with the aim of reducing the  
44 administrative burden, enhancing the internal market and increasing the availability of veterinary  
45 medicinal products, while guaranteeing the highest level of public and animal health and  
46 environmental protection.

47 This led to the introduction of specific provisions for limited markets in Regulation (EU) 2019/6 of  
48 the European Parliament and the Council of 11 December 2018 on veterinary medicinal products  
49 repealing Directive 2001/82/EC (the Regulation). Article 4(29) of the Regulation provides a  
50 definition for limited market and Article 23 allows the possibility to waive the submission of safety  
51 and efficacy data when certain conditions are met.

52 For the reasons indicated above related to the availability of veterinary medicines, it is beneficial  
53 from scientific and practical perspectives to provide guidance describing how the general quality  
54 data requirements in Annex II can also be adapted to products that meet the definition of limited  
55 market in Article 4(29) due to the characteristics of these products.

56 The guidance provided in this document is general. However, if during product development, an  
57 applicant wishes to have clarity on specific data requirements for an application relating to a  
58 specific VMP, Scientific Advice is available upon request.

### 59 **2. Scope**

60 The purpose of this scientific guidance is to indicate how the general flexibilities provided within  
61 Annex II can be applied to limited market veterinary medicinal products as defined by Article 4(29)  
62 of the Regulation due to the characteristics of these products. That is, while there is an obligation  
63 that the dossier complies with the requirements of Annex II, when scientifically justified, the  
64 general flexibility vis-à-vis data requirements can be applied for such products within the existing  
65 bounds of Annex II.

66 The quality data requirements presented in this guideline are applicable to all applications for  
67 biological veterinary medicinal products (biological other than IVMPs and IVMPs) that are limited  
68 markets products as defined by Article 4(29).

### 69 **3. Legal basis**

70 This guideline should be read in conjunction with Regulation (EU) 2019/6, in particular Article 8,  
71 Article 23 and Annex II.

72 If a product meets the definition of 'limited market' in Article 4(29) of the Regulation and the  
73 application is not eligible for authorisation under Article 23, then a comprehensive set of data will  
74 be required. The data requirements provided for in Annex II can accommodate some flexibilities  
75 because of the characteristics of the products concerned. This guidance aims to highlight where  
76 such general flexibility exists and how this flexibility may be applied to marketing authorisation  
77 applications for products intended for limited markets, where scientifically justified.

78 Of note, in section IIIa of Annex II of the Regulation, a general statement on flexibility is included:  
79 "flexibility is allowed regarding compliance to the requirements specified in this section, but any  
80 deviations from the requirements in this Annex shall be scientifically justified and based on specific  
81 properties of the biological product".

82 Applicants should also refer to other relevant European and VICH guidelines listed in the references  
83 section.

### 84 **4. Data requirements**

85 Generally, the requirements as provided in section IIIa.2 and IIIb.2 of Annex II to Regulation  
86 2019/6 and the relevant European Pharmacopoeia (Ph. Eur.) general chapters and monographs  
87 apply to all biological veterinary medicinal products, including those for limited markets. The CVMP,  
88 joint CVMP/CHMP and VICH guidelines concerning quality are also applicable for limited market  
89 products. The practical application thereof to specific dossiers will require a case-by-case  
90 assessment.

91 Applications for limited market products can be based on an existing biological veterinary medicinal  
92 product or are an entirely new biological veterinary medicinal product for use in a limited market as  
93 defined by Article 4(29). In the case, that an application for a limited market product will be  
94 submitted based on an already authorised product, a satisfactory set of supporting quality data  
95 already exists for the product. Whilst this data need not be re-assessed in those Member States  
96 where the existing product is authorised, it should be provided with the application for the limited  
97 market product. Other specific data requirements for the limited market product based on an  
98 authorised product may also be required depending on adaptations of the existing product to  
99 ensure its suitability for the limited market species/indication.

100 In Table 1 and 2, possible flexibilities concerning quality data requirements as described in Annex  
101 II, section IIIa or section IIIb, are highlighted and commented how this flexibility may be applied  
102 to marketing authorisation applications for products intended for limited markets.

### 103 **5. References**

104 The following legislation, guidelines and notes for guidance are relevant to this guideline:

105 1. Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December 2018 on  
106 veterinary medicinal products and repealing Directive 2001/82/EC

107 <https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A02019R0006-20220128>

108 2. Concept paper on scientific guidelines for limited market products deemed not eligible for  
109 authorisation under Article 23 of Regulation 2019/6 (EMA/CVMP/435071/2021)

110 [https://www.ema.europa.eu/en/scientific-guidelines-limited-market-products-deemed-not-](https://www.ema.europa.eu/en/scientific-guidelines-limited-market-products-deemed-not-eligible-authorisation-under-article-23)  
111 [eligible-authorisation-under-article-23](https://www.ema.europa.eu/en/scientific-guidelines-limited-market-products-deemed-not-eligible-authorisation-under-article-23)

112 3. Guideline on data requirements for applications for immunological veterinary medicinal products  
113 intended for limited markets submitted under Article 23 of Regulation (EU) 2019/6 -  
114 (EMA/CVMP/59531/2020)

115 [https://www.ema.europa.eu/en/data-requirements-applications-immunological-veterinary-](https://www.ema.europa.eu/en/data-requirements-applications-immunological-veterinary-medicinal-products-intended-limited-markets)  
116 [medicinal-products-intended-limited-markets](https://www.ema.europa.eu/en/data-requirements-applications-immunological-veterinary-medicinal-products-intended-limited-markets)

117 4. Guideline on efficacy and target animal safety data requirements for applications for non-  
118 immunological veterinary medicinal products intended for limited markets submitted under  
119 Article 23 of Regulation (EU) 2019/6 - (EMA/CVMP/52665/2020)

120 [https://www.ema.europa.eu/en/efficacy-target-animal-safety-data-requirements-applications-](https://www.ema.europa.eu/en/efficacy-target-animal-safety-data-requirements-applications-non-immunological-veterinary-medicinal)  
121 [non-immunological-veterinary-medicinal](https://www.ema.europa.eu/en/efficacy-target-animal-safety-data-requirements-applications-non-immunological-veterinary-medicinal)

122 5. Guideline on safety and residue data requirements for applications for non-immunological  
123 veterinary medicinal products intended for limited markets submitted under Article 23 of  
124 Regulation (EU) 2019/6 - (EMA/CVMP/345237/2020)

125 [https://www.ema.europa.eu/en/safety-residue-data-requirements-applications-non-](https://www.ema.europa.eu/en/safety-residue-data-requirements-applications-non-immunological-veterinary-medicinal-products)  
126 [immunological-veterinary-medicinal-products](https://www.ema.europa.eu/en/safety-residue-data-requirements-applications-non-immunological-veterinary-medicinal-products)

## 127 **Definitions**

128 For the purpose of the present guideline, the following definitions apply:

### 129 **Limited market**

130 According to Article 4(29) of Regulation (EU) 2019/6, 'Limited market' means a market for one of  
131 the following medicinal product types:

132 (a) veterinary medicinal products for the treatment or prevention of diseases that occur  
133 infrequently or in limited geographical areas;

134 (b) veterinary medicinal products for animal species other than cattle, sheep for meat production,  
135 pigs, chickens, dogs and cats.

### 136 **Limited market product eligible for Article 23**

137 Where the applicant provides evidence that a veterinary medicinal product is intended for a limited  
138 market **and** the benefit of the availability on the market of that product to the animal or public health  
139 outweighs the risk inherent in the fact that certain documentation has been provided (satisfies the  
140 conditions under Article 23(1)(a)(b) of Regulation (EU) 2019/6).

### 141 **Limited market product as defined by Article 4(29), but not eligible for Article 23**

142 Where the applicant provides evidence that a veterinary medicinal product is intended for a limited  
143 market **but** the benefit of the availability on the market of the veterinary medicinal product to the  
144 animal or public health does not outweigh the risk inherent in the fact that certain documentation  
145 has not been provided (does not satisfy the conditions under Article 23(1)(a) of Regulation (EU)  
146 2019/6).

### 147 **Biological veterinary medicinal product**

148 According to Article 4(6) of Regulation (EU) 2019/6 a 'Biological veterinary medicinal product'  
149 means a veterinary medicinal product where an active substance is a biological substance.

150 **Immunological veterinary medicinal product**

151 According to Article 4(5) of Regulation (EU) 2019/6 an '*Immunological veterinary medicinal*  
152 *product*' means a veterinary medicinal product intended to be administered to an animal in order  
153 to produce active or passive immunity or to diagnose its state of immunity.

154 **Table 1: Possible flexibility concerning quality data requirements for biological veterinary**  
 155 **medicinal products other than immunological veterinary medicinal products in Annex II**

156 Please note that the numbering of the table refers to the numbering in Section IIIa of Annex II to Regulation 2019/6.

No. of section	Section title	Data requirements	Comment on possible reduction
2.B	Description of the manufacturing method	Validation of the production process as a whole shall be demonstrated with provision of results of three consecutive batches produced using the method described.	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable to validate the consistency of production process for the finished product. At least two active substance batches should be presented in the three finished product batches. Results of the two first full-scale batches post authorisation (PAM - recommendation).
2.D	Control tests during the manufacturing process	Validation of the control tests shall be provided, unless otherwise justified.  A test for biological activity shall be included, unless otherwise justified.	Evaluation/validation data for control tests for parameters considered critical to the manufacturing process.
2.E	Control tests on the finished product	An activity test or test for quantification of the active substance or test to quantitatively measure the functionality (biological activity/functional effect) which is linked to relevant biological properties shall be implemented to show that each batch will contain the appropriate potency to ensure its safety and efficacy.  A biological assay shall be obligatory when physicochemical methods do not provide adequate information on the quality of the product.	Surrogate testing can be used and may not be used for each batch.

<sup>1</sup> Pilot batch: small scale industrial batch, but in **full compliance with the production process described in the licensing dossier**.  
 R&D batch: batch produced under laboratory conditions but in **full compliance with the production process described in the licensing dossier**

No. of section	Section title	Data requirements	Comment on possible reduction
2.F	Batch-to-batch consistency	Active substance In order to ensure that quality of the active substance is consistent from batch to batch and to demonstrate conformity with specifications data from representative batches shall be provided.	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable. Two active substance batches required.
		Finished product In order to ensure that quality of the product is consistent from batch to batch and to demonstrate conformity with specifications a full protocol of three consecutive batches representative of the routine production shall be provided.	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable. Results of two full-scale batches post authorisation (PAM - recommendation)
2.G	Stability tests	Stability data of the active substance may be obtained either through testing of the active substances themselves or through appropriate testing of the finished product.	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable. Two active substance batches required.
		Tests shall be carried out on not fewer than three representative batches produced according to the described production process and on products stored in the final container(s).	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable. Minimum and maximum container acceptable (containers are made of the same materials incl. stoppers). Stability results of two full-scale batches provided post-authorisation (PAM – recommendation).
		Stability data obtained from combined products may be used where adequately justified for derivative products containing one or more of the same components.	
		Information on the efficacy of preservatives in other similar biological veterinary medicinal products from the same manufacturer may be sufficient.	



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## Table 2: Possible flexibility concerning quality data requirements for immunological veterinary medicinal products in Annex II

160 Please note that the numbering of the table refers to the numbering in Section IIIb of Annex II to Regulation 2019/6.

No. of section	Section title	Data requirements	Comment
2.B	Description of the manufacturing method	Validation of all the methods of control used in the manufacturing process shall be described, documented and the results provided, unless otherwise justified.	
		Validation of the production process as a whole shall be demonstrated with provision of results of three consecutive batches produced using the method described.	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable to validate the consistency of production process for the finished product. At least two active substance batches should be presented in the finished product batches. However, at least two batches should be of different composition regarding the harvest material. Results of the two first full-scale batches post authorisation (PAM – recommendation).
2.D	Control tests during the manufacturing process	Validation of the control tests shall be provided, unless otherwise justified.	Evaluation/validation data for control tests for parameters considered critical to the manufacturing process.

No. of section	Section title	Data requirements	Comment
2.F	Batch-to-batch consistency	A full protocol of three consecutive batches representative of the routine production giving the results for all tests performed during production and on the finished product shall be provided.	Use of at least pilot scale or R&D <sup>1</sup> batches acceptable. At least two active substance batches should be presented in the finished product batches. Results of the two first full-scale batches post authorisation (PAM – recommendation).
		Consistency data obtained from combined products may be used for derivative products containing one or more of the same components.	
2.G	Stability tests	Stability tests for the finished product shall be carried out on not fewer than three representative batches produced according to the described production process and on products stored in the final container(s).	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable. Minimum and maximum container acceptable (containers are made of the same materials incl. stoppers). Stability results of three full-scale batches post-authorisation (PAM – recommendation).
		Stability data obtained from combined products may be used where adequately justified for derivative products containing one or more of the same components.	
		Information on the efficacy of preservatives in other similar immunological veterinary medicinal products from the same manufacturer may be sufficient.	
		Stability data of the active substances may be obtained either through testing of the active substances themselves or through appropriate testing of the finished product.	Use of at least two pilot scale or R&D <sup>1</sup> batches acceptable. Two active substance batches required.