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4 Reflection paper on the interpretation of Article 18(7) of
5 Regulation (EU) 2019/6
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11 Regulation (EU) 2019/6

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18 **1. Introduction**

19 Regulation (EU) 2019/6¹ (VMP-Reg), which lays down the rules for the marketing of veterinary
20 medicinal products (VMPs) in the European Union (EU) and the European Economic Area (EEA), will be
21 applicable from 28 January 2022 and replace Directive 2001/82/EC², which has been the Union's main
22 VMP-related legislation during the last 20 years.

23 Article 18 of the VMP-Reg generally lays down provisions related to the granting of a marketing
24 authorisation for generic VMPs, with Article 18(7) specifically concerning provisions for the
25 performance of an environmental risk assessment (ERA) for generic VMPs. The present reflection paper
26 aims at providing an approach to applying Article 18(7).

27 With regard to the ERA of generic VMPs, the VMP-Reg contains specific provisions that imply a
28 significant shift compared to the situation under Directive 2001/82/EC. Article 18(7) states that "[a]
29 competent authority or the Agency, as applicable, may require the applicant to provide safety data
30 concerning the potential risks posed by the generic veterinary medicinal product to the environment
31 where the marketing authorisation for the reference veterinary medicinal product was granted before 1
32 October 2005". In addition to that, Annex II of the VMP-Reg mentions in Section IV.1.1 (which pertains
33 to generic VMPs) that "[if] required, pursuant to Article 18(7) an environmental risk assessment shall
34 be included".

35 Furthermore, Recital 35 of the VMP-Reg states that "[i]t is recognised that the potential effect of a
36 product on the environment may depend on the volume used and the resulting amount of the
37 pharmaceutical substance that may reach the environment. Therefore, where there is evidence that a
38 constituent of a medicinal product, for which an application for a marketing authorisation for a generic
39 veterinary medicinal product has been submitted, is a hazard for the environment, it is appropriate to
40 require data on the potential effect on the environment in order to protect the environment". For the
41 purposes of this reflection, the constituent(s) of a generic medicinal product will be considered a
42 hazard with the potential for effects on the environment when the constituent(s) of the product have
43 not been subject to full ERA in line with VICH guidance in the context of either the marketing
44 authorisation for the reference product (RP) or the marketing authorisation of a similar VMP (same
45 active substance, same pharmaceutical form, indicated for use in the same target species when
46 administered at the same or a higher total dose).

47 **2. Discussion**

48 Generic VMPs rely on the safety and efficacy data presented in the dossier of their RP. However,
49 although technically a part of the safety section of the application dossier (i.e. part 3), under the
50 provisions of Directive 2001/82/EC, the ERA of generic VMPs was excluded from this general principle
51 (Title III, point 1 of Directive 2009/9/EC specifies that for every marketing authorisation application
52 [MAA] submitted for a generic VMP, an individual ERA has to be provided). The VMP-Reg no longer
53 requires that an ERA is provided routinely with a generic application, effectively bringing the ERA in
54 line with the rest of the safety aspects in part 3 of the dossier. A derogation from this principle is
55 provided for in Article 18(7) which states that "[a] competent authority or the Agency [...] may require
56 the applicant to provide safety data concerning the potential risks posed by the generic veterinary
57 medicinal product to the environment where the marketing authorisation for the reference veterinary
58 medicinal product was granted before 1 October 2005". This is the date of coming into effect of VICH

¹ Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December 2018 on veterinary medicinal products and repealing Directive 2001/82/EC. OJ L 4, 7.1.2019, p. 43–167.

² Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products. OJ L 311, 28.11.2001, p. 1–66.

59 guideline (GL) 38 ("Guideline on environmental impact assessments for veterinary medicinal products
60 – Phase II" [CVMP/VICH/790/03-FINAL]).

61 The CVMP considers that Article 18(7) provides for a possibility ("may require") and not an obligation
62 to require ERA data. Therefore, competent authorities should only require the submission of ERA data
63 from generic applicants under Article 18(7) on an exceptional basis. As Article 18(7) does not provide
64 any specific criteria, it is left at the discretion of the national competent authorities (NCAs) or the
65 Agency to decide whether the provision of ERA data is necessary for a particular generic application.

66 The underlying principle of this reflection paper on the interpretation of Article 18(7) of the VMP-Reg is
67 that an ERA should only be requested from generic applicants in case no ERA has been performed for
68 the same active substance and exposure level in the EU/EEA in accordance with VICH GL38.

69 As a general principle, the CVMP considers that the submission of an ERA under Article 18(7) should be
70 understood to relate to a phase II ERA in line with VICH GL38. It should be noted that the
71 performance, submission, and, respectively, assessment of a phase I ERA in line with VICH GL6
72 ("Environmental impact assessment [EIAs] for veterinary medicinal products – Phase I"
73 [CVMP/VICH/592/1998]) would not be formally necessary. Furthermore, in its introduction, VICH GL6
74 states that "[s]ome VMPs that might otherwise stop in Phase I may require additional environmental
75 information to address particular concerns associated with their activity and use" (the so-called
76 "however" clause). The considerations of this reflection paper also apply to such products.

77 For generic applications citing an RP that was authorised before 1 October 2005, the applicant should
78 check the Union Product Database (UPD) for VMPs with the same active substance and the same
79 pharmaceutical form and indicated for use in the same target species when administered at the same
80 or a higher total dose as the proposed generic VMP, and which have been authorised after 1 October
81 2005. The outcome of this check should be provided and discussed in the dossier. If such products are
82 authorised in the EU/EEA, then it will be assumed that an ERA according to VICH GL38 has been
83 performed by a competent authority and that the ERA data package provided has been found to be
84 satisfactory, that appropriate risk mitigation measures are in place (if applicable) and that, overall, the
85 benefits of the product outweigh any associated environmental risks. Based on the principle of mutual
86 trust, the competent authority receiving the application for a new generic VMP should recognise the
87 outcome of this assessment and should not request the generic applicant to provide an ERA under
88 Article 18(7) of Regulation (EU) 2019/6.

89 While the dossiers for RPs authorised before 1 October 2005 will not have a phase II ERA in line with
90 VICH GL38 at the time of initial authorisation, such an ERA might have been provided during a post-
91 authorisation procedure, e.g. via an extension, a renewal or a referral. Therefore, alternatively, the
92 applicant can contact the relevant NCA or the European Medicines Agency (the Agency), requesting
93 that the competent authority (CA) checks whether a guideline-compliant ERA is available for the RP. If
94 it is confirmed that an ERA is available for the reference product, the competent authority should not
95 request the generic applicant to provide an ERA under Article 18(7).

96 Where,

- 97 • the cited RP is authorised before 1 October 2005, and
- 98 • the relevant CA advises that an ERA is not available for the RP, and
- 99 • no similar VMP (same active substance, same pharmaceutical form, indicated for use in the
100 same target species when administered at the same or a higher total dose) was authorised
101 after 1 October 2005,

102 no conclusions will be drawn regarding the environmental safety of the proposed generic VMP. In this
103 case, the CA will request that an ERA be provided under Article 18(7). The ERA should be provided as

104 part of the MAA dossier and should be submitted before the authorisation procedure starts, therefore
105 the applicants are advised to discuss precise data requirements with the CA before the submission of
106 the dossier.

107 It is recognised that the product information (PI) of RPs authorised prior to 1 October 2005 and for
108 which an ERA according to VICH GL38 has not been performed might not contain risk mitigation
109 measures to protect the environment or environmental information in line with current standards.
110 Article 18(6) of the VMP-Reg states that "[t]he summary of product characteristics of the generic
111 veterinary medicinal product shall be essentially similar to that of the reference medicinal product [...]".
112 Therefore, the generic applicant is expected to adhere to the authorised PI of the RP. The CVMP
113 considers that the lack of risk mitigation measures in the proposed PI of the generic VMP should not be
114 used as a justification for CAs to require a generic applicant to provide an ERA under Article 18(7).
115 Nevertheless, when there is public information available related to inherent environmental properties
116 of the active substance (e.g. persistence or leaching potential), an NCA or the Agency might request
117 the applicant to complete the PI with such information for the sake of environmental protection. Any
118 such information included in the PI of the generic product can be included in the RP in future variations
119 that require an amendment of the SPC. The inclusion of this environmental protection information will
120 imply neither a risk characterisation nor a deviation from the principle that generics' summaries of
121 product characteristics (SPC) should be "essentially similar" to the RP's SPC. Should a concern
122 regarding the environmental safety of a generic VMP be identified, indicating the need for inclusion of
123 risk mitigation measures and/or environmental information in the PI, these would in principle also
124 apply to the RP and would therefore be beyond the scope of the generic application. This concern
125 should hence be addressed in a Union interest referral procedure under Article 82 of the VMP-Reg. This
126 would allow for an assessment of all available data and for reaching harmonised conclusions for all
127 relevant products, which would ensure better protection of the environment. As an alternative to a
128 referral, CAs might consider applying Article 130(3)(a) of the VMP-Reg in order to request the
129 marketing authorisation holder of the RP to submit a variation to update the PI with the appropriate
130 risk mitigation measures and/or relevant environmental information.

131 In situations where the need for an environmental risk assessment cannot be clearly determined based
132 on the guidance provided above, applicants are strongly advised to liaise with the relevant competent
133 authority in a pre-submission meeting. Such meeting will clarify whether or not an ERA needs to be
134 provided as part of the authorisation dossier.

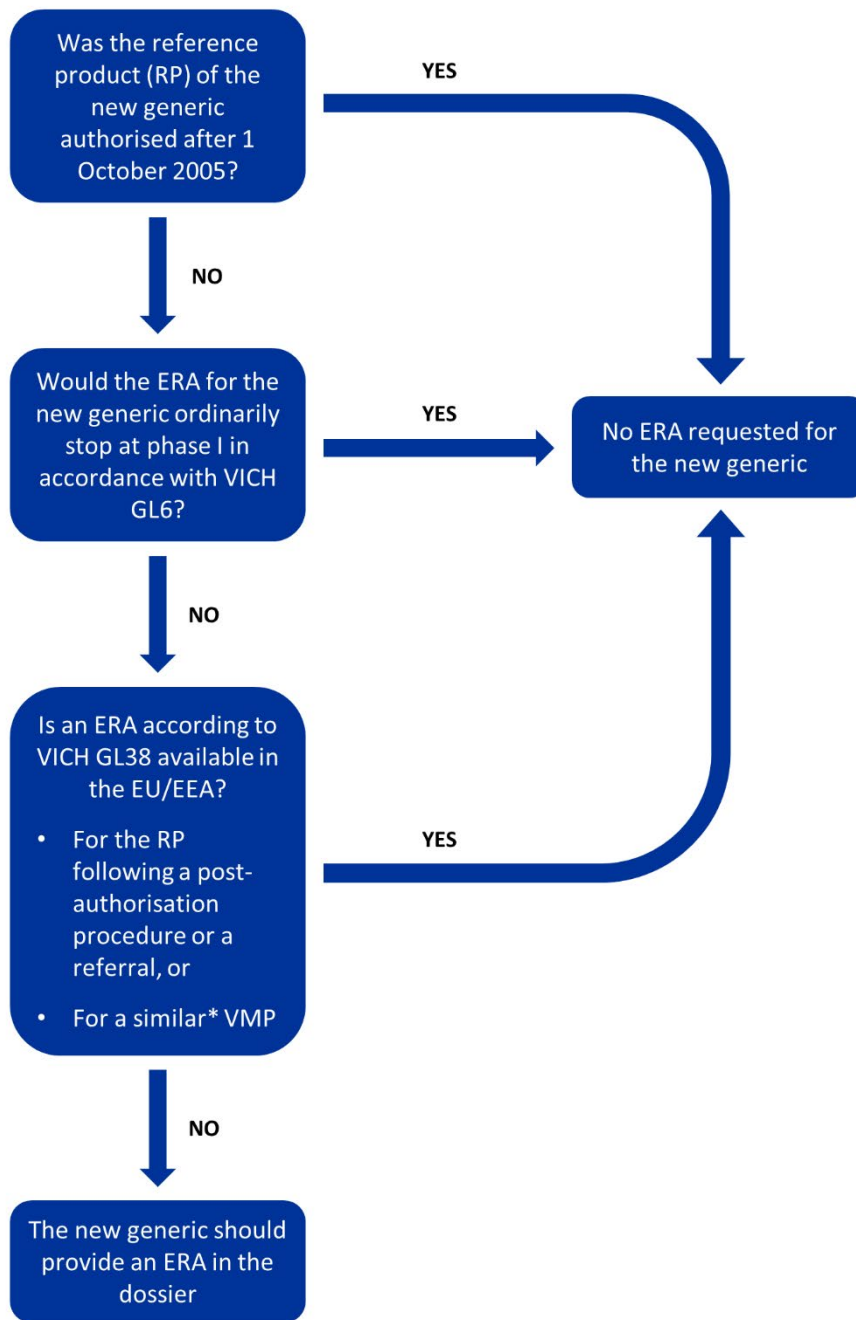
135 For clarification, the Annex to this reflection paper contains a schematic figure exemplifying the
136 situations where an ERA should or shouldn't be requested by an NCA or the Agency for a generic VMP.

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138 **3. Annex**

139 In Article 18(7), the VMP-Reg states that competent authorities may require applicants of generic
140 applications to provide an ERA. **Figure 1** depicts in which situations an ERA should or should not be
141 required.

142 Whenever an ERA is not required for a generic application, its PI will be essentially similar to the one of
143 the RP, which does not exclude the possibility of including publicly available environmental information
144 related to inherent properties of the active substance (for instance, persistence, leaching potential or
145 toxicity to non-target organisms). The alignment of the PI of concerned products in terms of
146 environmental information and/or risk mitigation measures can be carried out by the CAs by means of
147 a Union interest referral or applying Article 130(3)(a).



* Similar VMP is defined as same active substance, same pharmaceutical form, indicated for use in the same target species when administered at the same or a higher total dose

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Figure 1. Schematic representation depicting when an ERA should be requested from a generic VMP applicant.