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2 EMA/CHMP/276798/2024
3 Committee for medicinal products for human use (CHMP)

4 Concept paper on the revision of the COVID-19 vaccines 5 guidance documents

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Agreed by the Emergency Task Force (ETF)	19 April 2024
Adopted by CHMP for release for consultation	17 June 2024
Start of public consultation	01 July 2024
End of public consultation	30 September 2024

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8 The proposed guideline will replace the European Medicines Agency (EMA) considerations on COVID-19
9 vaccine approval (EMA/592928/2020) and the Reflection paper on the regulatory requirements for
10 vaccines intended to provide protection against variant strain(s) of SARS-CoV-2 (EMA/117973/2021).

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Keywords	Vaccine platforms, mRNA, immunobridging, effectiveness, SARS-COV-2, regulatory requirements, sarbecovirus, broad coverage
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15 **1. Introduction**

16 During the CoronaVirus Disease 2019 (COVID-19) pandemic, the EMA Emergency Task Force (ETF)
17 and the CHMP adopted two guidance documents. The first document covered regulatory clinical
18 requirements for COVID-19 vaccines approval and was published in November 2020
19 (EMA/592928/2020). The second covered quality, non-clinical and clinical regulatory requirements for
20 vaccines intended to provide protection against variant strain(s) of Severe acute respiratory syndrome
21 coronavirus 2 (SARS-CoV-2) and was published in February 2021 (EMA/117973/2021). Since these
22 documents were published there have been several developments in the field of COVID-19 vaccines. In
23 parallel, the predominant circulating variants of SARS-CoV-2 continue to evolve over time and the
24 immunity of the European Union (EU) population to prior and current variants has increased due to
25 natural exposures and vaccination campaigns.
26 Experience with applications for scientific advice and for marketing authorisation since 2021 have
27 pointed to the need for revision of the guidance documents. It is proposed to consolidate the two
28 guidance documents into a single guideline that covers the non-clinical and clinical aspects of the
29 development of vaccines against COVID-19. It is intended that quality aspects of COVID-19 vaccines
30 will be covered in a separate guideline (not in the scope of this concept paper).

31 **2. Problem statement**

32 The current guidance documents were developed at a time when the circulating virus variants were still
33 closely related to the ancestral (Wuhan) strain and when the clinical efficacy of the COVID-19 vaccines
34 in use had been assessed in large clinical trials conducted pre-licensure. The regulatory approach to
35 developing vaccines against further variants was considered in the second document of February 2021.
36 With widespread availability of vaccines, updating of vaccine content in line with epidemiological data
37 and variable immunity of the EU population to the most recent variants that reflects prior natural
38 exposures and vaccinations, the vaccine development landscape is now very different to that applicable
39 when the prior guidance was published. These factors all have major implications for the design of
40 feasible clinical trials. For example, placebo-controlled efficacy trials are no longer possible in
41 subpopulations for which vaccination is recommended, leading to reliance on immunobridging to infer
42 vaccine efficacy, for which guidance on primary immune parameters and acceptance criteria is needed.
43 Considerable evidence is available on the use of neutralising antibodies as primary immune parameter
44 for immunobridging. Moreover, there are some situations recognised in which it may not be necessary
45 to conduct clinical trials prior to approval (e.g. when changing the composition of an approved vaccine
46 solely to amend the [encoded] antigen[s]).

47 In addition, there have been new vaccine constructs proposed since 2021, including the possible
48 development of mucosal (nasally administered) vaccines and vaccines based on other platforms.
49 Furthermore, some early proposals have been made for vaccines intended to prevent not only COVID-
50 19 but also diseases caused by related sarbecoviruses. Current guidance does not address the
51 development of such products.

52 The current guidance does not address the conduct and reporting of vaccine effectiveness studies and
53 the many difficulties there may be in obtaining reliable data, especially brand-specific data. There is a
54 need to consider the feasibility and possible design of such studies.

55 Finally, current guidance (EMA/592928/2020 and EMA/117973/2021) includes only high level
56 recommendations on non-clinical requirements for the approval of variant vaccines and this
57 information should be updated in the context of any future COVID-19 vaccine approval.

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59 **3. Discussion (on the problem statement)**

60 The following elements of the current guidance documents need to be revised or added:

- 61 • Novel platforms under development for SARS-CoV-2 vaccines, including new routes of
62 administration;
- 63 • Requirements for changing the antigen composition of / antigens expressed by approved
64 vaccines;
- 65 • Inferring efficacy via immunobridging;
- 66 • Vaccines intended to protect against several sarbecoviruses, including SARS-CoV-2;
- 67 • Vaccine effectiveness studies;
- 68 • Non-clinical studies and, where ethically feasible, human challenge studies to support the
69 likelihood of vaccine efficacy;
- 70 • Requirements for paediatric development of SARS-CoV-2 vaccines;
- 71 • Editorial and structural changes to streamline and improve readability.

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73 **4. Recommendation**

74 The ETF recommends revising the existing guidance documents on COVID-19 vaccines, taking into
75 account the issues identified above.

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77 **5. Proposed timetable**

78 The timetable for the concept paper is the following:

79 Discussion at ETF: 19 April 2024

80 Adoption by CHMP: 17 June 2024

81 Released for public consultation: 01 July 2024 – 30 September 2024

82 Adoption and publication of the final version: November 2024

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84 The timetable for the revision of the guideline is the following:

85 Discussion at ETF on: Q4 2024

86 Expected date for adoption on: Q1/Q2 2025 followed by 6 months public consultation

87 Expected finalisation: 2025

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89 **Resource requirements for preparation**

90 An estimate of 2-4 ETF members will be required to draft the updated GL.

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92 **6. Impact assessment (anticipated)**

93 The revised guideline will address aspects of the non-clinical and clinical development of new SARS-
94 CoV-2 or related sarbecovirus vaccines, including issues that are not covered by the current guidance

95 documents. This will guide applicants of new vaccines through product development to licensure. The
96 revised guideline will also address post-approval issues including changes in antigenic
97 composition/expression and the collection of vaccine effectiveness data.
98 All amendments foreseen will contribute to addressing primarily the need for safe and effective
99 coronavirus vaccines including against COVID-19, taking new scientific knowledge and lessons learned
100 from the COVID-19 pandemic into account.

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102 **7. Interested parties**

103 EMA: VWP, BWP, PRAC, PDCO, CHMP, NcWP, CTCG

104 External parties: pharmaceutical industry and vaccine development consortia, academic networks and
105 learned societies within the EU, patients and health care professional representatives.

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107 **8. References to literature, guidelines, etc.**

- 108 • Guideline on clinical evaluation of vaccines (EMA/CHMP/VWP/164653/05 Rev. 1)
- 109 • Guideline on good pharmacovigilance practices (GVP) - Product- or Population-Specific
110 Considerations I: Vaccines for prophylaxis against infectious diseases (EMA/488220/2012 Corr)
- 111 • EMA, 2020; International regulators align positions on phase 3 COVID-19 vaccine trials
112 [International regulators align positions on phase 3 COVID-19 vaccine trials | European
113 Medicines Agency \(europa.eu\)](#)
- 114 • [EMA considerations on COVID-19 vaccine approval - Scientific guideline | European Medicines
115 Agency \(europa.eu\)](#)
- 116 • [Regulatory requirements for vaccines intended to provide protection against variant strain\(s\) of
117 SARS-CoV-2 - Scientific guideline | European Medicines Agency \(europa.eu\)](#)