



1 21 July 2016
2 EMA/CHMP/318360/2015
3 Committee for Medicinal products for Human Use (CHMP)

4 **Concept paper on the need for revision of the note for**
5 **guidance on clinical investigation of medicinal products**
6 **for the treatment and prevention of bipolar disorder**

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Agreed by Central Nervous System Working Party (CNSWP)	June 2016
Adopted by CHMP for release for consultation	21 July 2016
Start of public consultation	28 July 2016
End of consultation (deadline for comments)	31 October 2016

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9 The proposed guideline will replace 'Note for guidance on clinical investigation of medicinal products for
10 the treatment and prevention of bipolar disorder' (CPMP/EWP/567/98).

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Comments should be provided using this [template](#). The completed comments form should be sent to CNSWPsecretariat@ema.europa.eu.

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Keywords	Bipolar, Bipolar disorder
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14 **1. Introduction**

15 Bipolar Disorder (BD) I and II have long been well known psychiatric diseases that can be controlled
16 with psychopharmacological and behavioural treatment. Over the past decades its prevalence
17 remained stable (BD I 0.6% and BD II 0.4%, worldwide). Aetiology and pathophysiology of BD remain
18 still largely unknown, however, recent research effort, especially genetic research using Genome Wide
19 Association Studies (GWAS) and Copy Number Variations (CNVs) methodologies in extremely large
20 samples, has shed some light into the neurobiology (1). Bipolar Disorder is now considered a bridging
21 disease belonging to both the psychotic and the mood spectrum, with different dimension differentially
22 involved in individual patients (2).

23 With the transition of DSM-IV into DSM 5, bipolar and related disorders have been separated from
24 depressive disorders, and BD II is no longer considered a milder form of BD I. More important, Cluster
25 A symptoms for BD I have changed and specifiers been added (e.g. anxious distress) with subsequent
26 implications for identifying patients both in clinical and research settings.

27 Although BD primarily affects adults, evidence gathered has shown that it frequently begins in
28 adolescence and diagnostic accuracy is moving towards early detection, and a younger patient
29 population (3).

30 Drug development has mainly been focussed on Bipolar Disorder I, but slow progressions have also
31 been made in both types of the disorder. The Note for Guidance on Clinical Investigation of Medicinal
32 Products for the Treatment and Prevention of Bipolar Disorder dates October 2001. Since then, only
33 few new products have been registered for this indication, the latest being asenapine (2010) and
34 aripiprazole for children >13 years of age (2012/2013). In addition, loxapine has been registered for
35 mild- to moderate agitation in 2012 (4). The latter demonstrates that a shift also takes place in the
36 treatment of specific symptoms within the disorder that is also foreseen with the added specifiers in
37 the DSM 5. This strategy, embodied in the Research Domain Criteria project (RDoC) initiated by the
38 National Institute of Mental Health in 2009 (5), is intended to identify psychopathology and treatment
39 targets based upon basic functional mechanisms and their implementing neural systems that cut
40 across traditional diagnostic categories. Together with the paradigm shift in psychiatry that certain
41 domains, e.g. cognition, are paramount across CNS disorders and warrant a similar or distinct
42 approach, this will challenge new drug development in the coming years.

43 Several products are currently under investigation either based on new concepts of mood stabilization,
44 e.g. riluzole, taurine, omega-3 fatty acids, modafinil, or elaborating on existing insights, or targeting
45 specific dysfunctional domains such as cognition (6, 7).

46 Altogether, the apparent changes in the conceptual framework of psychiatric diseases expressed in
47 DSM 5, the shift towards early treatment, and the recognition of treatment targets across disorders,
48 needs consideration of new strategies in clinical trial design, patient populations, endpoints and
49 outcome and bridging with related guidelines, i.e. schizophrenia (8) and depression (9).

50 **2. Problem statement**

51 The transition from DSM-IV to DSM 5 brings about the opportunity to develop drugs for patient
52 populations that have not been defined before (10). Second, although the current guideline includes
53 studies in adolescents, identifying high-risk populations, if possible, warrants discussion on the need
54 for prevention trials or early intervention and its inherent primary endpoints and outcome(11). In
55 addition, whether specific (diagnostic) biomarkers can be identified and used is inherent to such

56 approach and anticipates on new insights in e.g. genetic- and neuroimaging research (12). Beyond
57 targeting potential new patient populations that fit in the new DSM 5 criteria, targeting cognitive
58 dysfunction as a treatment goal is seen in several CNS disorders (schizophrenia, depression, MS etc.).
59 Characterizing whether distinctly different or specific for BD needs further research as well as the
60 acceptance of the diagnostic and assessment tools available (7).

61 Despite the fact that DSM 5 adheres to the categorical classification of psychiatric disorders,
62 dimensional approaches are not uncommon, and often reflect current clinical practice. Bipolar disorder
63 shares symptoms with both Schizophrenia and Major Depression (13). Subsequently, scientific and
64 regulatory discussion is needed when a population with specific psychopathological characteristics is
65 targeted (e.g. mixed features); where can be extrapolated and where complementary data are needed
66 distinct for the different disorders. This also anticipates on current initiatives to describe psychotropic
67 drugs according to their mode of action and the underlying psychopathology that CNS disorders share.
68 The trade off, however, is the potential need for specific safety studies, thereby shifting the field
69 towards a more tailored approach of drug development.

70 **3. Discussion (on the problem statement)**

71 Because of important changes in DSM 5, new insights into the neurobiology of BD, the diagnostic
72 accuracy moving towards early detection, and the recognition of additional domains that can be
73 targeted to optimize treatment of Bipolar patients in general, revision of the current guideline is
74 warranted with the focus on 2 main topics:

75 1. Overall reconsideration of treatment targets and subsequent patient populations, trial design,
76 endpoints and outcome with specific attention towards

77 a. potential early diagnosis and the treatment of children and adolescents

78 b. targeting specific domains that are either new specifiers in the DSM 5 or at the core of
79 adjoining disorders, e.g. cognition

80 2. Harmonisation and bridging with the guidelines for schizophrenia and depression

81 **4. Recommendation**

82 The anticipated changes are considered substantial enough to recommend a public consultation to

83 a. explore the timing and validity of early treatment, patient need, and relevant outcome
84 measures, and

85 b. the evidence needed for targeting specific symptom clusters or dimensions within the bipolar
86 spectrum.

87 **5. Proposed timetable**

88 It is planned to release for consultation a draft CHMP guidance document not later than May 2017.

89 **6. Resource requirements for preparation**

90 The preparation of this guideline will involve the CNSWP. Drafts of the document will be discussed with
91 SAWP and other relevant WPs and committees. Further consultation with PDCO and stakeholders from

92 academia, patient representatives and industry may be needed to discuss the issues mentioned in this
93 CP.

94 **7. Impact assessment (anticipated)**

95 It is expected that the revised Guideline will be helpful in designing state of the art clinical trials in
96 Bipolar Disorder, enhancing drug development in this field. It is expected that harmonizing guidelines
97 of the major psychiatric disorders and complement them with new options for treatment, and tools
98 that, with current innovative measures, will improve the use of relevant outcome measures, tailored to
99 the patient's needs.

100 **8. References to literature, guidelines, etc.**

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