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3 Committee for Medicinal Products for Human Use (CHMP)  
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6 **Concept paper on the need for a paediatric addendum of**  
7 **the guideline on clinical investigation of medicinal**  
8 **products for the treatment and prophylaxis of venous**  
9 **thromboembolic disease**  
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11 Comments should be provided using this [template](#). The completed comments form should be sent to  
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Keywords	<i>Venous thromboembolism, treatment, prophylaxis, major bleeding, guidelines, anticoagulant, CHMP</i>
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## 15 Introduction

16 A number of new EMA guidelines related to clinical investigation of medicinal products for the  
17 treatment and prophylaxis of venous thromboembolism (VTE) are already available [1,2,3], but  
18 recommendations are applicable only to adults. In contrast to adults, VTE in children is a rare event,  
19 but represents a significant management dilemma that requires therapeutic intervention. *A paediatric*  
20 *addendum to the guidelines on clinical investigation of medicinal products for the treatment and*  
21 *prophylaxis of VTE* is considered necessary to discuss and make methodological recommendations  
22 adapted to children.

### 23 1. Problem statement

24 VTE is a rare disease in children, occurring with an incidence that is approximately 100 times lower  
25 than in adults. While the overall incidence rate for a VTE event in adults is approximately 100-200 per  
26 100,000/year, the incidence of symptomatic thrombosis is approximately 1 case per 100,000  
27 paediatric patients [4,5]. Immediate complications of VTE include death from extension and/or  
28 embolization into the heart or lung (PE), while long-term complications involve recurrent VTE, post-  
29 thrombotic syndrome (PTS), and bleeding associated with anticoagulation therapy.

30 In contrast to VTE in adults, VTE in children is rarely truly idiopathic in nature [6]. The distribution of  
31 VTE events in paediatric patients is bimodal with the majority of events occurring in neonates and  
32 infants [4,5] and in adolescents [7]. Approximately  $\geq 90\%$  of children with VTE have a serious  
33 underlying disorder [e.g.: cancer, congenital heart disease (CHD), nephrotic syndrome, etc.], or a  
34 precipitation factor [central venous catheter (CVC), infection, trauma or surgery], or a hereditary pro-  
35 thrombotic condition. Apart from better awareness for VTE, the widely observed increase in childhood  
36 VTE is mainly due to the medical progress in the treatment and/or interventions of critically ill children.  
37 In adolescents, VTE is generally associated to the use of hormonal contraception (HC) for contraceptive  
38 and noncontraceptive indications [7]. VTE in adolescents is broadly similar to VTE in adults, and  
39 hormonal contraception is already covered as VTE risk factor in the adult guideline [1]. Therefore, the  
40 focus of this paediatric addendum is for clinical investigation of VTE in neonates and young children.  
41 This statement does not mean that adult data may be completely extrapolated to adolescents, but that  
42 adolescents may be included in VTE studies in adults provided that it is appropriately justified from a  
43 clinical standpoint.

44 The typical location of VTE in neonates and infants differs from that in adults and adolescents. In  
45 neonates and young children, VTE occurs more often (60%) in the upper venous system (vs. only 2%  
46 in adults). This reflects the common placement of CVC (the most frequent precipitating factor of VTE in  
47 children) via the internal jugular or subclavian veins. The location of the clots results in fewer classic  
48 VTE symptoms (e.g., unilateral limb swelling) and also may impair the effective/precise diagnosis with  
49 standard measures [i.e. compression ultrasound (CUS) cannot be performed in this location]. Loss of  
50 catheter patency and loss of central venous access have important consequences in children with  
51 cancer and other serious medical conditions [6].

52 A growing body of evidence shows that preterm neonates require higher doses of LMWH to achieve  
53 anti-factor Xa levels within target ranges [8]. However, in the absence of large randomized controlled  
54 trials, and with much of our current understanding of PK extrapolated from adult studies, ideal dosing  
55 for anticoagulation in critically ill neonates remains uncertain. Current recommended therapeutic  
56 regimens for VTE in children are largely based upon case series and cohort studies, and are otherwise

57 extrapolated from adult VTE data. The majority of the recommendations for dosing in children are  
58 based on Grade 2 level of evidence. The current standard of care for the treatment of VTE in children is  
59 unfractionated heparin (UFH) or low molecular weight heparin (LMWH) administered for 5-7 days  
60 followed by (at least) three months of LMWH or oral anticoagulation with a vitamin K antagonist (VKA)  
61 [8,9].

62 Finally, primary prophylaxis of VTE is not well established in the paediatric population [8]. Proof-of-  
63 concept and appropriate dosing has to be established in dedicated studies in high risk populations.

64 In summary, there is a medical need for additional clinical studies that address the PK/PD, efficacy and  
65 safety of antithrombotic treatments in children, particularly in neonates and young children.

## 66 **2. Discussion**

67 The following critical aspects will need to be discussed and covered as appropriate by the paediatric  
68 addendum of the VTE guidelines:

69 1. Extrapolation from adults. The possibilities of extrapolation of data from adults to children should be  
70 discussed [10]. Pediatric-specific aspects should be addressed related to the occurrence of VTE in  
71 neonates and young children (e.g. natural course of the disease, VTE localization and risk factors) and  
72 related to the investigational treatment (e.g.: dose regimens, duration of therapy, monitoring  
73 requirements, possibility of establishing therapeutic ranges, factors influencing dose-response  
74 relationships, side effects, and the impact of general anaesthesia and non-pharmacologic interventions).

75 2. Clinical trial designs (respecting the rarity of the condition in paediatrics).

76 3. Selection of patients (in relation to the heterogeneity of the population).

77 4. Diagnostic tests to detect VTE in neonates and young children (as CUS cannot be applied in many  
78 cases due to the location of the thrombus in the central venous system).

79 5. Primary and secondary end points suitable for neonates and young children (e.g.: symptomatic and  
80 asymptomatic VTE), including also a discussion on surrogate and composite end points.

81 6. Safety endpoints suitable in children (e.g.: bleeding and other endpoints).

## 82 **3. Recommendation**

83 The Cardiovascular (CVS) Working Party and the Paediatric Committee (PDCO) recommend the CHMP  
84 to consider an Addendum to the VTE Guidelines in line with the criteria stated above.

## 85 **4. Proposed timetable**

86 It is anticipated that a draft document may be released 6 months after adoption of the Concept Paper.  
87 The draft document will then be released for 6 months of external consultation and following the  
88 receipt of comments it will be finalised within approximately 3 months.

## 89 **5. Resource requirements for preparation**

90 The preparation of this guidance document will be led by the CVS Working Party of the CHMP.

## 91 **6. Impact assessment (anticipated)**

92 The document is intended to update methodological aspects when performing trials to develop drugs in  
93 the prophylaxis and treatment of VTE in children. It should also provide a clear basis for the CHMP  
94 when assessing efficacy and safety data from studies for paediatric VTE and providing advice in this  
95 field.

## 96 **7. Interested parties**

97 The interested parties in the guideline include the Industry (PhARMA, EFPIA, JPMA and others),  
98 Academia and Scientific Societies of medical specialities involved in the treatment investigation and/or  
99 recommendations about treatment of VTE in children (e.g.: The International Society of Thrombosis  
100 and Haemostasis (ISTH), European Hematology Association (EHA), European Society for Cardiology  
101 (ESC), Association for European Paediatric and Congenital Cardiology (AEPC), European Society of  
102 Pediatric Radiology (ESPR), clinical trialists in VTE and other Regulatory Agencies.

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